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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/824,930	04/15/2004	Thomas A. Gentles	1842.047US1	7285
70648 7590 08/10/2007 SCHWEGMAN, LUNDBERG & WOESSNER/WMS GAMING P.O. BOX 2938 MINNEAPOLIS, MN 55402			EXAMINER KIM, ANDREW	
			ART UNIT 3714	PAPER NUMBER
			MAIL DATE 08/10/2007	DELIVERY MODE PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/824,930

Applicant(s)

GENTLES ET AL.

Examiner

Andrew Kim

Art Unit

3714

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 15 April 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-25 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-25 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 15 April 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>5/24/04 5/9/05 7/28/05</u> | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-19, 21, and 23-25 are rejected under 35 U.S.C. 102(e) as being anticipated by Martinek et al. (US 7,043,641), "Martinek".

Claim 1. Martinek discloses a method performed by a gaming system server, the method comprising: authenticating a gaming terminal (col. 10:19-27);

applying an encryption technique to encrypt a gaming software program, which produces an encrypted gaming software program (abstract, col. 6, 8:52-64); and

transmitting the encrypted gaming software program to the gaming terminal (abstract, col. 6).

Claim 2. Martinek discloses receiving a request to download the gaming software program from the gaming terminal (col. 5:41).

Claims 3, 4. Martinek discloses wherein authenticating the gaming terminal comprises:

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receiving a gaming terminal digital certificate from the gaming terminal (col. 6:1-23); and authenticating the gaming terminal based on the gaming terminal digital certificate (col. 6, 10).

Claim 5. Martinek discloses generating a session key to use in applying the encryption technique (col. 6:1-23, 12:25-39).

Claim 6. Martinek discloses wherein the encryption technique is selected from a group of encryption techniques that includes a symmetric encryption technique and an asymmetric encryption technique (6:1-23, 10:19-39).

Claim 7. Martinek discloses wherein the symmetric encryption technique is an encryption technique that uses a one-time session key (6:1-23, 10:19-39, 12:25-39).

Claim 8. Martinek discloses wherein the asymmetric encryption technique is selected from a group of asymmetric encryption techniques that includes a public key encryption technique, and a multiple-key public key encryption technique (col. 6).

Claim 9. Martinek discloses

establishing a public-private key-pair, which includes a public key and a private key (col. 6); and generating the gaming terminal digital certificate, which includes a digital certificate that is signed with the private key (col. 10:13-39).

Claim 10. Martinek discloses a method performed by a gaming terminal, the method comprising:

authenticating a gaming system server (col. 6:38-50);

receiving an encrypted gaming software program from the gaming system server (col. 6:38-50); and

applying a decryption technique to decrypt the encrypted gaming software program, which produces a gaming software program (fig. 4).

Claim 11. Martinek discloses sending a request to download the gaming software program to the gaming system server (col. 5:41).

Claim 12. Martinek discloses wherein authenticating the gaming system server comprises: receiving a gaming system server digital certificate from the gaming system server; and authenticating the gaming system server based on the gaming system server digital certificate (col. 6:38-50).

Claim 13. Martinek discloses wherein the decryption technique is selected from a group of decryption techniques that includes a symmetric decryption technique and an asymmetric decryption technique (col. 6:38-50).

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Claim 14. Martinek discloses wherein the symmetric decryption technique is a decryption technique that uses a one-time session key (fig. 4 along with the related description).

Claim 15. Martinek discloses wherein the asymmetric decryption technique is selected from a group of asymmetric decryption techniques that includes a public key decryption technique, and a multiple-key public key decryption technique (col. 6).

Claim 16. Martinek discloses establishing a public-private key-pair, which includes a public key and a private key; and generating the gaming system server digital certificate, which includes a digital certificate that is signed with the private key (col. 6, 10).

Claims 17-19, 21, 23-25. Martinek discloses a server of a gaming system generating a public-key private-key key pair; encrypting the public-key private-key key pair to produce an encrypted public-key private-key key pair; generating a certification authority digital certificate request, the certification authority digital certificate request including a public-key associated with the encrypted public-key private-key key pair; decrypting the public-key private-key key pair; and signing the certification authority digital certificate request using the private-key of the public-key private-key key pair to form the certification authority digital certificate (col. 6, 10, 11:36-48, 12:25-39).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 20, 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Martinek et al. (US 7,043,641), "Martinek".

Claims 20, 22. Martinek discloses a method comprising: receiving a first signed digital certificate from a server, the first signed digital having an associated first public-key private-key key pair and having a first digital signature from an approval authority, the first digital signature formed by digitally signing the first public-key of the first public-key private-key key pair with a first approval authority private-key from a first approval authority public-key private-key key pair; authenticating the server based on the first signed digital certificate.

Martinek substantially discloses the invention as claimed but fails to explicitly teach double encryption as claimed. Instead, Martinek teaches single encryption (abstract, col. 6) and authentication from both a regulatory agency and a game code manufacturer. However, One of ordinary skill in the art would have seen the benefit of modifying Martinek with double encryption to insure the security, authenticity, and validity of the data being exchanged between the gaming terminal and the gaming server. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the instant invention to modify Martinek with double encryption to insure privacy, authentication and validity.

Citations

The following prior art of record is not relied upon but is considered pertinent to applicant's disclosure: Campinos et al. (US 6,035,397), Karmarkar (US 6,508,709), O'Connor et al (US 6,178,510), Rackman (US 4,670,857), Walker et al. (US 2004/0127277), Gatto et al. (US 2002/0174160).


Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Andrew Kim whose telephone number is 571-272-1691. The examiner can normally be reached on M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert Pezzuto can be reached on 571-272-6996. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

A.K. 8/6/2007


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